

# UTILITY PATENT APPLICATION TRANSMITTAL

nonprovisional applications under 37 CFR 1.53(b)

APPLICATION ELEMENTS  
MPEP chapter 600 concerning utility patent application contents

Attorney Docket No.	709 US
First Inventor or Applicant Identifier	James D. Kovacic et al.
Title	Quadruple Lamp Utility Light
Express Mail Label No.	EL 684695080 US
Assistant Commissioner for Patents ADDRESS TO: Box Patent Application Washington, DC 20231	

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original, and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.  
(See 37 CFR 1.27)
3. ☒ Specification [Total Pages 12]  
(preferred arrangement set forth below)
- Descriptive title of the Invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R&D
- Reference to sequence listing, a table, or a computer program listing appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure
- ☒ Drawing(s) (35 USC 113) [Total Sheets 5]
- Oath or Declaration [Total Pages 2]
- a. ☒ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 18 completed)  
[Note Box 5 below]

## DECLARATION OF INVENTORS

- i. ☐ Signed statement attached deleting inventor(s) named in the prior application, see CFR §§ 1.63(d)(2) and 1.33(b).

☐ Application Data Sheet See 37 CFR 1.76

If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

Prior application information: Examiner

of prior Application No. /

Group/Art Unit

I ... CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label

or ☐ Correspondence address below



04859

PATENT TRADEMARK OFFICE

Name	William J. Clemens		
Address			
City			
Country	Telephone	734/542-0900	Fax 734/542-9569
Name (print/type)	William J. Clemens		Registration No. (Attorney/Agent) 26,855
Signature	<i>William J. Clemens</i>		Date November 7, 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231  
c:\2002\115708.sb\05.doc

## Certificate of Mailing by Express Mail

"Express Mail" Mailing Label No. EL 684695080 US Date of Deposit November 7, 2001. I hereby certify that this paper or fee is being deposited in the United States "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, DC 20231.

Terri L. Fox

*Terri L. Fox*

# **FEE TRANSMITTAL For FY 2002**

Patent fees are subject to annual revision.

**Complete if known**

TOTAL AMOUNT OF PAYMENT	(\$ 410 )	Application Number	
		Filing Date	
		First Named Inventor	James D. Kovacic et al.
		Examiner Name	
		Group/Art Unit	
		Attorney Docket No.	15709 US

METHOD OF PAYMENT (check one)	FEE CALCULATION (continued)																																																																																																																																																																																																						
<p>1. <input checked="" type="checkbox"/> The commissioner is hereby authorized to charge indicated fees and credit any over payments to:</p> <p>Deposit Code No. <u>50-0567</u></p> <p>Deposit Code <u>MacMillan, Sohanski, &amp; Todd, LLC</u></p> <p>Act. Name <u>MacMillan, Sohanski, &amp; Todd, LLC</u></p> <p><input type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17</p> <p><input checked="" type="checkbox"/> Applicant claims small entity status See 37 CFR 1.27</p> <p>2. <input type="checkbox"/> Payment Enclosed:</p> <p><input type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> Other</p>	<p><b>3. ADDITIONAL FEES</b></p> <table border="1"><thead><tr><th>Large Fee Code</th><th>Entity Fee (\$)</th><th>Small Fee Code</th><th>Entity Fee (\$)</th><th>Fee Description</th><th>Fee Paid</th></tr></thead><tbody><tr><td>105</td><td>130</td><td>205</td><td>65</td><td>Surcharge - late filing fee or oath</td><td></td></tr><tr><td>127</td><td>50</td><td>227</td><td>25</td><td>Surcharge - late provisional filing fee or cover sheet</td><td></td></tr><tr><td>139</td><td>130</td><td>139</td><td>130</td><td>Non-English specification</td><td></td></tr><tr><td>147</td><td>2,520</td><td>147</td><td>2,520</td><td>For filing a request for reexamination</td><td></td></tr><tr><td>112</td><td>920*</td><td>112</td><td>920*</td><td>Requesting publication of SIR prior to Examiner action</td><td></td></tr><tr><td>113</td><td>1,840*</td><td>113</td><td>1,840*</td><td>Requesting a publication of SIR after Examiner action</td><td></td></tr><tr><td>115</td><td>110</td><td>215</td><td>55</td><td>Extension for reply within 1<sup>st</sup> month</td><td></td></tr><tr><td>116</td><td>400</td><td>216</td><td>200</td><td>Extension for reply within 2<sup>nd</sup> month</td><td></td></tr><tr><td>117</td><td>920</td><td>217</td><td>460</td><td>Extension for reply within 3<sup>rd</sup> month</td><td></td></tr><tr><td>118</td><td>1,440</td><td>218</td><td>720</td><td>Extension for reply within 4<sup>th</sup> month</td><td></td></tr><tr><td>128</td><td>1,960</td><td>228</td><td>980</td><td>Extension for reply within 5<sup>th</sup> month</td><td></td></tr><tr><td>119</td><td>320</td><td>219</td><td>160</td><td>Notice of Appeal</td><td></td></tr><tr><td>120</td><td>320</td><td>220</td><td>160</td><td>Filing a brief in support of an appeal</td><td></td></tr><tr><td>121</td><td>280</td><td>221</td><td>140</td><td>Request for oral hearing</td><td></td></tr><tr><td>138</td><td>1,510</td><td>138</td><td>1,510</td><td>Petition to institute a public use proceeding</td><td></td></tr><tr><td>140</td><td>110</td><td>240</td><td>55</td><td>Petition to revise - unavoidable</td><td></td></tr><tr><td>141</td><td>1,280</td><td>241</td><td>640</td><td>Petition to revise - unintentional</td><td></td></tr><tr><td>142</td><td>1,280</td><td>242</td><td>640</td><td>Utility issue fee (or reissue)</td><td></td></tr><tr><td>143</td><td>460</td><td>243</td><td>230</td><td>Design issue fee</td><td></td></tr><tr><td>144</td><td>620</td><td>244</td><td>310</td><td>Plant issue fee</td><td></td></tr><tr><td>122</td><td>130</td><td>122</td><td>130</td><td>Petitions to the Commissioner</td><td></td></tr><tr><td>123</td><td>50</td><td>123</td><td>50</td><td>Petitions related to provisional applications</td><td></td></tr><tr><td>126</td><td>180</td><td>126</td><td>180</td><td>Submission of Information</td><td></td></tr><tr><td>581</td><td>40</td><td>581</td><td>40</td><td>Disclosure Statement</td><td></td></tr><tr><td>146</td><td>740</td><td>246</td><td>370</td><td>Recording each patent assignment per property (times number of properties)</td><td>40</td></tr><tr><td>149</td><td>740</td><td>249</td><td>370</td><td>Filing a submission after final rejection (37 CFR 1.129(a))</td><td></td></tr><tr><td>179</td><td>740</td><td>279</td><td>370</td><td>For each additional invention to be examined (37 CFR 1.129(b))</td><td></td></tr><tr><td>169</td><td>900</td><td>169</td><td>900</td><td>Request for Continued Examination</td><td></td></tr><tr><td></td><td></td><td></td><td></td><td>Request for expedited examination of a design application</td><td></td></tr><tr><td colspan="6">Other fee (specify) _____</td></tr><tr><td colspan="6">*Reduced by Basic Filing Fee Paid</td></tr><tr><td colspan="5">SUBTOTAL (3)</td><td>\$ 40</td></tr></tbody></table>	Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid	105	130	205	65	Surcharge - late filing fee or oath		127	50	227	25	Surcharge - late provisional filing fee or cover sheet		139	130	139	130	Non-English specification		147	2,520	147	2,520	For filing a request for reexamination		112	920*	112	920*	Requesting publication of SIR prior to Examiner action		113	1,840*	113	1,840*	Requesting a publication of SIR after Examiner action		115	110	215	55	Extension for reply within 1 <sup>st</sup> month		116	400	216	200	Extension for reply within 2 <sup>nd</sup> month		117	920	217	460	Extension for reply within 3 <sup>rd</sup> month		118	1,440	218	720	Extension for reply within 4 <sup>th</sup> month		128	1,960	228	980	Extension for reply within 5 <sup>th</sup> month		119	320	219	160	Notice of Appeal		120	320	220	160	Filing a brief in support of an appeal		121	280	221	140	Request for oral hearing		138	1,510	138	1,510	Petition to institute a public use proceeding		140	110	240	55	Petition to revise - unavoidable		141	1,280	241	640	Petition to revise - unintentional		142	1,280	242	640	Utility issue fee (or reissue)		143	460	243	230	Design issue fee		144	620	244	310	Plant issue fee		122	130	122	130	Petitions to the Commissioner		123	50	123	50	Petitions related to provisional applications		126	180	126	180	Submission of Information		581	40	581	40	Disclosure Statement		146	740	246	370	Recording each patent assignment per property (times number of properties)	40	149	740	249	370	Filing a submission after final rejection (37 CFR 1.129(a))		179	740	279	370	For each additional invention to be examined (37 CFR 1.129(b))		169	900	169	900	Request for Continued Examination						Request for expedited examination of a design application		Other fee (specify) _____						*Reduced by Basic Filing Fee Paid						SUBTOTAL (3)					\$ 40
Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid																																																																																																																																																																																																		
105	130	205	65	Surcharge - late filing fee or oath																																																																																																																																																																																																			
127	50	227	25	Surcharge - late provisional filing fee or cover sheet																																																																																																																																																																																																			
139	130	139	130	Non-English specification																																																																																																																																																																																																			
147	2,520	147	2,520	For filing a request for reexamination																																																																																																																																																																																																			
112	920*	112	920*	Requesting publication of SIR prior to Examiner action																																																																																																																																																																																																			
113	1,840*	113	1,840*	Requesting a publication of SIR after Examiner action																																																																																																																																																																																																			
115	110	215	55	Extension for reply within 1 <sup>st</sup> month																																																																																																																																																																																																			
116	400	216	200	Extension for reply within 2 <sup>nd</sup> month																																																																																																																																																																																																			
117	920	217	460	Extension for reply within 3 <sup>rd</sup> month																																																																																																																																																																																																			
118	1,440	218	720	Extension for reply within 4 <sup>th</sup> month																																																																																																																																																																																																			
128	1,960	228	980	Extension for reply within 5 <sup>th</sup> month																																																																																																																																																																																																			
119	320	219	160	Notice of Appeal																																																																																																																																																																																																			
120	320	220	160	Filing a brief in support of an appeal																																																																																																																																																																																																			
121	280	221	140	Request for oral hearing																																																																																																																																																																																																			
138	1,510	138	1,510	Petition to institute a public use proceeding																																																																																																																																																																																																			
140	110	240	55	Petition to revise - unavoidable																																																																																																																																																																																																			
141	1,280	241	640	Petition to revise - unintentional																																																																																																																																																																																																			
142	1,280	242	640	Utility issue fee (or reissue)																																																																																																																																																																																																			
143	460	243	230	Design issue fee																																																																																																																																																																																																			
144	620	244	310	Plant issue fee																																																																																																																																																																																																			
122	130	122	130	Petitions to the Commissioner																																																																																																																																																																																																			
123	50	123	50	Petitions related to provisional applications																																																																																																																																																																																																			
126	180	126	180	Submission of Information																																																																																																																																																																																																			
581	40	581	40	Disclosure Statement																																																																																																																																																																																																			
146	740	246	370	Recording each patent assignment per property (times number of properties)	40																																																																																																																																																																																																		
149	740	249	370	Filing a submission after final rejection (37 CFR 1.129(a))																																																																																																																																																																																																			
179	740	279	370	For each additional invention to be examined (37 CFR 1.129(b))																																																																																																																																																																																																			
169	900	169	900	Request for Continued Examination																																																																																																																																																																																																			
				Request for expedited examination of a design application																																																																																																																																																																																																			
Other fee (specify) _____																																																																																																																																																																																																							
*Reduced by Basic Filing Fee Paid																																																																																																																																																																																																							
SUBTOTAL (3)					\$ 40																																																																																																																																																																																																		
<p><b>FEE CALCULATION</b></p> <table border="1"><thead><tr><th>Large Fee Code</th><th>Entity Fee (\$)</th><th>Small Fee Code</th><th>Entity Fee (\$)</th><th>Fee Description</th><th>Fee Paid</th></tr></thead><tbody><tr><td>104</td><td>740</td><td>201</td><td>370</td><td>Utility filing fee</td><td>370</td></tr><tr><td>106</td><td>330</td><td>206</td><td>165</td><td>Design filing fee</td><td></td></tr><tr><td>107</td><td>510</td><td>207</td><td>255</td><td>Plant filing fee</td><td></td></tr><tr><td>108</td><td>740</td><td>208</td><td>370</td><td>Reissue filing fee</td><td></td></tr><tr><td>160</td><td>160</td><td>214</td><td>80</td><td>Provisional filing fee</td><td></td></tr><tr><td colspan="5">SUBTOTAL (1)</td><td>\$ 370</td></tr></tbody></table> <p><b>CLAIMS</b></p> <table border="1"><thead><tr><th>Total Claims</th><th>20 - 20**</th><th>Extra</th><th>Fee from Below</th><th>Fee Paid</th></tr></thead><tbody><tr><td>Independent Claims</td><td>3</td><td>3**</td><td>x 18/9 =</td><td>0</td></tr><tr><td>Multiple Dependent</td><td></td><td></td><td>x 84/42 =</td><td>0</td></tr><tr><td colspan="4"></td><td>= 280/140</td></tr></tbody></table> <p>**or # previously paid, if greater; for Reissues, see below</p> <table border="1"><thead><tr><th>Large Fee Code</th><th>Entity Fee (\$)</th><th>Small Fee Code</th><th>Entity Fee (\$)</th><th>Fee Description</th></tr></thead><tbody><tr><td>103</td><td>18</td><td>203</td><td>9</td><td>Claims in excess of 20</td></tr><tr><td>102</td><td>84</td><td>202</td><td>42</td><td>Independent claims in excess of 3</td></tr><tr><td>104</td><td>280</td><td>204</td><td>140</td><td>Multiple dependent claim, if not paid</td></tr><tr><td>109</td><td>84</td><td>209</td><td>42</td><td>**Reissue independent claims over original patent</td></tr><tr><td>110</td><td>18</td><td>210</td><td>9</td><td>**Reissue claims in excess of 20 and over original patent</td></tr><tr><td colspan="5">SUBTOTAL (2)</td><td>\$ 0</td></tr></tbody></table> <p>** or number previously paid, if greater; for Reissues, see above</p>	Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid	104	740	201	370	Utility filing fee	370	106	330	206	165	Design filing fee		107	510	207	255	Plant filing fee		108	740	208	370	Reissue filing fee		160	160	214	80	Provisional filing fee		SUBTOTAL (1)					\$ 370	Total Claims	20 - 20**	Extra	Fee from Below	Fee Paid	Independent Claims	3	3**	x 18/9 =	0	Multiple Dependent			x 84/42 =	0					= 280/140	Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	103	18	203	9	Claims in excess of 20	102	84	202	42	Independent claims in excess of 3	104	280	204	140	Multiple dependent claim, if not paid	109	84	209	42	**Reissue independent claims over original patent	110	18	210	9	**Reissue claims in excess of 20 and over original patent	SUBTOTAL (2)					\$ 0																																																																																																					
Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid																																																																																																																																																																																																		
104	740	201	370	Utility filing fee	370																																																																																																																																																																																																		
106	330	206	165	Design filing fee																																																																																																																																																																																																			
107	510	207	255	Plant filing fee																																																																																																																																																																																																			
108	740	208	370	Reissue filing fee																																																																																																																																																																																																			
160	160	214	80	Provisional filing fee																																																																																																																																																																																																			
SUBTOTAL (1)					\$ 370																																																																																																																																																																																																		
Total Claims	20 - 20**	Extra	Fee from Below	Fee Paid																																																																																																																																																																																																			
Independent Claims	3	3**	x 18/9 =	0																																																																																																																																																																																																			
Multiple Dependent			x 84/42 =	0																																																																																																																																																																																																			
				= 280/140																																																																																																																																																																																																			
Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description																																																																																																																																																																																																			
103	18	203	9	Claims in excess of 20																																																																																																																																																																																																			
102	84	202	42	Independent claims in excess of 3																																																																																																																																																																																																			
104	280	204	140	Multiple dependent claim, if not paid																																																																																																																																																																																																			
109	84	209	42	**Reissue independent claims over original patent																																																																																																																																																																																																			
110	18	210	9	**Reissue claims in excess of 20 and over original patent																																																																																																																																																																																																			
SUBTOTAL (2)					\$ 0																																																																																																																																																																																																		

SUBMITTED BY		Complete (if applicable)
Typed or Printed Name	William J. Clemens	Reg. No. 26,855
Signature		Deposit Account User ID

**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

c:\2002\15708.sh17.doc